JUL 1 9 2004

PTO/SB/21 (08-03),
Approved for use through 07/31/2006. OMB 0654-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

July 16, 2004

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/967,283	
Filing Date	09/28/2001	
First Named Inventor	James Morrow	
Art Unit	3713	
Examiner Name	Cherubin, Yveste G.	
Attorney Docket Number	10407/521	<del></del>

Total Number of Pages in This Submission		3	Attorne	ey Docket Number	10407/521			
ENCLOSURES (check all that apply)								
Fee Transmittal Form		☐ Drawing(s)			After Allowance Communication to Group			
☐ Fee Attached		Licensing-related Papers			Appeal Communication to Board of Appeals and Interferences			
Amendment / Rep	bly	Petition			Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
After Final			to Conv onal App		Proprietary Information			
Affidavits/dec	laration(s)			ey, Revocation espondence Address	Status Letter			
Extension of Time Request		Termin	al Disclai	mer	Other Enclosure(s) (please identify below):			
Express Abandon	ment Request	Reque	st for Ref	und	Return Receipt Postcard			
		CD, Number of CD(s)		CD(s)				
Information Disclo	sure Statement							
Certified Copy of Priority Document(s)		Rema	rks	,				
Response to Missing Parts/ Incomplete Application								
Response to Parts under 3 1.52 or 1.53								
	SIGNAT	URE OF A	APPLICA	ANT, ATTORNEY, OF	RAGENT			
Firm or Individual name  Brooke W. Quist								
Signature Moch V. 2-mail								
Date	Date July 16, 2004							
CERTIFICATE OF MAILING								
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.								

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Brooke W. Quist

Typed or printed name

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PTO/SB/17 (10-03)
Approved for use through 07/31/2006, OMB 0651-0032
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07/20/2004 01 FC:1806

## **FEE TRANSMITTAL** for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (

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\$)	- 1

Complete if Known					
Application Number	09/967,283				
Filing Date	09/28/2001				
First Named Inventor	James Morrow				
Examiner Name	Cherubin, Yveste G.				
Art Unit	3713				
Attorney Docket No.	10407/521				

M	THOD OF PAYMENT (check all	that apply)	ļ.,,	0.710			ALCULATION (continued)	
☑ Check ☐ Credit card ☐ Money ☐ Other ☐ None Order ☐ Deposit Account:		3. ADDITIONAL FEES  Large Entity   Small Entity						
		Large	Entity	Small	entity			
Deposit		<del></del>	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Account	502811		1051	130	2051	65	Surcharge - late filing fee or oath	
Number			1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Deposit			1053	130	1053	130	Non-English specification	
Account Name	Brown Raysman Millstein Felder	r & Steiner LLP	1812	2,520	1812	2,520	For filing a request for reexamination	
	uthorized to: (check all that a		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
	indicated below 🛭 Credit any ditional fee(s) during the pend		1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	<b> </b>
	indicated below, except for the	e filing fee	1251	110	2251	55	Extension for reply within first month	
to the above-ident	ified deposit account. FEE CALCULATION		1252	420	2252	210	Extension for reply within second	
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	ILING FEE	•	1254	1.480	2254	740	Extension for reply within third month  Extension for reply within fourth	<del>  </del>
	<u>Small Entity</u> Fee Fee <u>Fee Descriptlo</u>	<u>n</u>		,			month	
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1001 770 2	2001 385 Utility filing fee		1401	330	2401	165	Notice of Appeal	
1002 340 2	2002 170 Design filing fee		1402	330	2402	165	Filing a brief in support of an appeal	
1003 530 2	2003 265 Plant filing fee		1403	290	2403	145	Request for oral hearing	
	2004 385 Reissue filing fe 2005 80 Provisional fillin	<b></b>	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1000   100   1	toos oo moralona miin	g ice	1452	110	2452	55	Petition to revive - unavoidable	
	SUBTOTAL (1)	(\$) 0	1453	1,330	2453	665	Petition to revive - unintentional	
			1501	1,330	2501	665	Utility issue fee (or reissue)	
2. EXTRA CLA	IM FEES FOR UTILITY A		1502	480	2502	240	Design issue fee	
		Fee from Fee	1503	640	2503	320	Plant issue fee	
Total Claims	-20 ** = 0 X	below Paid = 0	1460	130	1460	130	Petitions to the Commissioner	
Independent	= =	<b>—</b>   •	1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
Claims	-3** = 0 X	= 0	1806	180	1806	180	Submission of Information Disclosure Stmt	180
Multiple Dependent	x [	= 0	8021	40	8021	40	Recording each patent assignment per property (times number of	
Large Entity	Small Entity		ľ				properties)	
Fee Fee Code (\$)	Fee Fee Code (\$)	ption	1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1202 18 1201 86	2202 9 Claims in e 2201 43 Independer	xcess of 20 nt claims in excess of 3	1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
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1204 86	Reissue	independent claims over	1802	900	1802		Request for expedited examination	<b>  </b>
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**or number previ	ously paid, if greater, For Reissues,	see above					_ <del>-</del>	

SUBMITTED BY Complete (if applicable) Registration No Name (Print/Type) Brooke W. Quist 45.030 (Attorney/Agent) Telephone 310-712-8319 Signature July 16, 2004

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PTO/SB/08a (08-03)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

ibstitute for form 1449A/PTO

 Complete if Known

 Application Number
 09/967,283

 Filing Date
 09/28/2001

 First Named Inventor
 James Morrow

 Art Unit
 3713

 Examiner Name
 Yveste G. Cherubin

(Use as many sheets as necessary)

Sheet 1 of 1 Attorney Docket Number 10407/521

U.S. PATENT DOCUMENTS							
Examiner Cite		Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevan		
Initials *	Cite No. <sup>1</sup>	Number - Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear		
•	A1	US-5,643,086	07-01-1997	Alcorn et al.			
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	FOREIGN PATENT DOCUMENTS								
Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines,				
Initials*	No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> ( <i>if known</i> )	Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>			
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Trainstation is attached.

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